



CIRCULAR

NSE

Applicability of Additional Surveillance Measure (ASM) Applicability of Short-Term Additional Surveillance Measure (ST-ASM) Graded Surveillance Measure (GSM) - Updated list of companies Transfer of excess securities provided as early pay-in (EPI) to clients Relaxation in Compliance requirements-COVID -19 Declaration as Defaulter and Expulsion of Trading Member Applicability of Additional Surveillance Measure (ASM) Applicability of Short-Term Additional Surveillance Measure (ST-ASM) List of Securities moving out of Graded Surveillance Measure (GSM) - Update Adjustment of Futures and Options contracts in the security NMDC Declaration as Defaulter Removal of Exclusively listed companies of de-recognized/Non-operational/Exited Stock Exchanges placed in the Dissemination Board (DB) Applicability of Additional Surveillance Measure (ASM) Applicability of Short-Term Additional Surveillance Measure (ST-ASM) List of Securities moving to Stage II of Graded Surveillance Measure (GSM) List of Securities moving to Stage III of Graded Surveillance Measure (GSM)

SEBI order in the matter of fraud involving physical shares and demat accounts

BSE

Availability of UTI NIFTY200 MOMENTUM 30 INDEX FUND for ongoing transactions on BSE StAR MF Platform

Corporate Action: - POWER FINANCE CORPORATION, NATIONAL ALUMINIUM COMPANY, COLGATE PALMOLIVE (INDIA), GAIL INDIA, THE REAMCO CEMENTS, INDIAN OIL CORPORATION, DIXON TECHNOGIES, ASTRA POLY TECHNIK, INFIBEAM AVENUES, RITES -SLB

Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages

Applicability of Short Term Additional Surveillance Measure (ST-ASM)

Relaxation in Compliance requirements - COVID-19

Transfer of excess securities provided as early pay-in (EPI) to clients

Impact on transaction due to Public Sector Banks strike on 15th March and 16th March 2021

Addition to the S&P BSE IPO Index

Applicability of Additional Surveillance Measure

Applicability of Event based Additional Surveillance Margin (E-ASM)

Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages

Applicability of Short Term Additional Surveillance Measure (ST-ASM)

Clarifications received from the companies

Graded Surveillance Measure (GSM) - List of securities moving into their respective GSM Stages

Applicability of Additional Surveillance Measure

Applicability of Short Term Additional Surveillance Measure (ST-ASM)

MCX

Commencement of Futures Trading in MCX iCOMDEX Base Metals Index (MCX METLDEXTM) June 2021 Contract Revision in fees Peak Client Margin Reporting for CP trades Alternate Risk Management Framework Applicable in case of Near Zero and Negative Prices Review of Margin Period of Risk (MPOR) Methodology for Calculation of Closing Price Daily Settlement Price Relaxation in timelines for compliance with regulatory requirements

ICEX

AMENDMENTS TO PROVISIONS IN SEBI CIRCULAR DATED SEPTEMBER 16, 2016 ON UNIQUE CLIENT CODE (UCC) AND MANDATORY REQUIREMENT OF PERMANENT ACCOUNT NUMBER (PAN) PEAK CLIENT MARGIN REPORTING

NCDEX.

Submission of Trading member wise Cash and cash equivalent details by Clearing members Applicability of Event based Additional Surveillance Margin - E-ASM Relaxation in timelines for compliance with regulatory requirement Rollout of Legal Entity Template Calculation of Closing Price or Daily Settlement Price (DSP) for Commodity Futures Contract NSDL

No Circular

NSE IFSC

Liquidity Enhancement Scheme (LES) at NSE IFSC Limited Expulsion of Trading Member

INDIA INX

Amendment in Liquidity Enhancement Scheme (LES)

SEBI

Bharat Rasayan Limited - Letter of Offer Order in the matter of fraud involving physical shares and demat accounts

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